Allen Application No. 10/013,823

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence and its listed enclosures is being deposited with the United States Postal Service as First Class Mail, postage paid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Attn: OIPE on July 16, 2002

Name: Deborah A. Mojarro

Signed: ______

Date: July 16, 2002

RECEIVED

COPY OF PAPERS ORIGINALLY FILED

JUL 26 2002

TECH CENTER 1600/2900

Application No. 10/013,823

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Keith D. ALLEN et al.

Serial No.:

10/005,921

Filed:

December 4, 2001

For:

Transgenic Mice Containing Cash

Gene Disruptions

Docket No.: R-714

Group Art Unit: 1632

Examiner: Unassigned

RECEIVED

JUL 26 2002

TECH CENTER 1600/2900

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed June 19, 2002 regarding the above-captioned application, Applicants submit herewith:

- 1. A Preliminary Amendment under 37 C.F.R. §1.115 with an amendment to Figure 2A of the above-referenced application;
- 2. A copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed June 19, 2002.

The Sequence Listing submitted with the application on December 4, 2001, in computer readable format (CRF) and paper, contains all sequences disclosed in the application. Therefore, Applicants believe that a substitute Sequence Listing in CRF is not required. Moreover, the content of the paper and computer readable copies of the Sequence Listing submitted on December 5, 2001 are identical. The sequence listing submitted in this application merely presents nucleotide and/or amino acid sequences that appeared in the application as originally filed in accordance with 37 C.F.R. §1.821-1.825, thus no new matter has been introduced into the application.

Accordingly, Applicants respectfully request the entry of the paper and computer readable forms of the sequence listing into the application.

Date: July 16, 2002

Respectfully submitted,

DELTAGEN, INC. 740 Bay Road Redwood City, CA 94063 Tel. (650) 569-5100 Fax (650) 569-5280



COPY OF PAPERS.
ORIGINALLY FILED

RECEIVED

JUL 26 2002

TECH CENTER 1600/2900

APPLICATION 10/005921 DOES NOT COMPLY WITH THE SEQUENCE RULES BECAUSE:

	CRF, paper copy of sequence listing, and statement that both are same are missing
	CRF contains error(s) according to STIC Report
	CRF damaged or unreadable according to STIC Report
X	Other: Figure 2A contains a nucleic acid sequence that is not described in the sequence listing or CRF. If it is described in the CRF, a SEQ ID NO is required in the description or drawing.



RECEIVED

JUL 26 2002

es Patent and Trademark Office

TECH CENTER 1600/200

MMISSIONER FO UNITED STATES PATENT AND TRADEMAP WASHINGTON, D

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/005,921

12/04/2001

Keith D. Allen

R-714

DELTAGEN, INC. 740 Bay Road Redwood City, CA 94063

COPY OF PAPERS ORIGINALLY FILED **CONFIRMATION NO. 7082**

FORMALITIES LETTER

OC000000008309455

Date Mailed: 06/19/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821 - 1.825. The application must be in sequence compliance before examination on the merits.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R. §§ 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extension of time may be obtained by filing a petition accompanier by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to: The Office Of Initial Patent Examination.

See the attachment.

Applicant Must Provide as part of the response:

An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".

An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.

A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600

For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 – COPY TO BE RETURNED WITH RESPONSE